



TOKAI TOKYO FINANCIAL HOLDINGS, INC.

5-1, NIHONBASHI 2-CHOME, CHUO-KU, TOKYO 103-6130 JAPAN

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(TSE, NSE: 8616)

March 17, 2021

Re: The Reshuffle of Representative Directors and a Director

We, Tokai Tokyo Financial Holdings, Inc., decided preliminarily on the reshuffle of representative directors and a director at our Board of Directors Meeting held today as in the following. This preliminary decision made today is subject to the formal approval of the Regular General Shareholders Meeting to be held sometime in late June 2021, and it will be further approved by the Board of Directors Meeting to be held on the same day after the said shareholders Meeting with regard to the specific responsibility assigned to each prospective representative director.

1. The Reshuffle of Representative Directors

(1) The Reshuffle (to be effective after the 109th Ordinary General Shareholders Meeting that is scheduled to be held sometime in late June this year)

New Position	Current Position	Name
Representative Director and Chairman	Representative Director and & Chief Executive Officer (CEO)	Tateaki Ishida
Representative Director and President	Representative Director and President of Tokai Tokyo Securities Co., Ltd.	Ichiro Goda
Resignation	Representative Director and Deputy President & Chief Information Officer, and Head of IT Group	Masahide Kawamoto

(2) Career Record of the New Representative Director

Name (Date of Birth)	Profile
Ichiro Goda* (August 24, 1968)	April 1992 The Sanwa Bank, Ltd.
	August 2007 The Sumitomo Trust and Banking Co., Ltd.
	January 2012 General Manager of Market Development Department Tokai Tokyo Securities Co., Ltd.
	April 2015 General Manager of Strategic Planning Department Tokai Tokyo Financial Holdings, Inc.
	April 2016 Executive Officer, General Manager of Strategic Planning Department
	October 2017 Executive Officer, Deputy Head of Strategic Planning Group
	April 2018 Managing Executive Officer, Head of Planning and Administration Unit, (Internal Control Supervisory Manager) Tokai Tokyo Securities Co., Ltd.
	May 2018 Managing Executive Officer, Special Assignment Tokai Tokyo Financial Holdings, Inc.
	January 2019 Managing Executive Officer, Head of Planning and Administration Unit and General Manager of Planning Department Tokai Tokyo Securities Co., Ltd.
	April 2019 Representative Director and President and Head of Sales Supervisory Unit, May 2020 Representative Director and President and until now

*Number of the Company shares held by the New Representative Director: 20,200

2. The Reshuffle of Directors

(1) The reshuffle (to be effective after the 109th Ordinary General Shareholders Meeting that is scheduled to be held sometime in late June this year.)

New Position	Current Position	Name
Director and Deputy President	Representative Director and Chairman of Tokai Tokyo Securities Co., Ltd.	Hideaki Yamane
Resigns (and assumes the position of Representative Director and President of Tokai Tokyo Securities Co., Ltd.)	Director and Deputy President, Supervising General Planning Group, Strategic Planning Group and Digital Strategy Group	Masataka Sato

(end)