

March 31, 2021

Global X Management Company, Inc.
Daiwa Securities Group Inc.
Daiwa Asset Management Co. Ltd.

Global X Japan listed two ESG related ETFs on the Tokyo Stock Exchange

- Accelerate diversification of the ETF market in Japan by the investment theme such as governance and cleantech -

Daiwa Securities Group Inc. (Headquarters: Chiyoda-ku, Tokyo; President and CEO: Seiji Nakata; hereinafter "Daiwa Securities Group"), Daiwa Asset Management Co. Ltd. (Headquarters: Chiyoda-ku, Tokyo; President: Koichi Matsushita) and Global X Management Company, Inc. ("Global X") announce that Global X Japan Co. Ltd., the investee company, has listed two ETFs on the Tokyo Stock Exchange, as shown in the appendix. This matter does not fall under the timely disclosure standards stipulated by the Financial Instruments Exchange at Daiwa Securities Group Inc.

Daiwa Securities Group has been a driving force for initiatives in addressing social issues through its continuous efforts in product development and provision for more than ten years. To accelerate our initiatives, Daiwa established the SDGs Promotion Committee chaired by Seiji Nakata (President and CEO) and recently assigned Keiko Tashiro (Deputy President) as the Head of SDGs. The listing of the ESG related ETFs is one of our ongoing efforts to achieve SDGs and to create a sustainable society.

■ The SDGs we aim to achieve through the initiative in this press release

- Goal 7 : Affordable and clean energy



Ensure access to affordable, reliable, sustainable and modern energy for all.

- Goal 17 : "Partnerships for the goals"



Strengthen the means of implementation and revitalize the global partnership for sustainable development.

End

(Reference) Daiwa Securities Group's SDGs initiatives :

https://www.daiwa-grp.jp/english/sdgs/?cid=ad_eir_ensdgspress



Appendix

March 31, 2021

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Global X Japan Co. Ltd.
Business Management Department
Tel 03-5656-5274

Global X Japan listed 2 ESG related ETFs on the TSE.

Global X Japan Co. Ltd. (President: Akihiko Kanamura) announced that the Global X MSCI Governance-Quality Japan ETF (2636) investing in consideration of the governance and financial quality and the Global X CleanTech ESG Japan ETF (2637) investing in the environment related technology were listed on the Tokyo Stock Exchange on March 31. ETFs for these investment themes will be the first in Japan.¹

The Global X MSCI Governance-Quality Japan ETF aims to link to the MSCI Japan Governance-Quality Index. This index is composed of 125 stocks selected by the scoring of governance and financial quality from stocks listed in Japan. We believe that companies with high scores for both governance and financial quality can be expected to remain competitive relative to their peers.

The Global X CleanTech ESG Japan ETF aims to link to the FactSet Japan CleanTech & Energy Index. This index is composed of 30 to 40 stocks related to the cleantech selected from common stocks listed on the TSE and JASDAQ weighted by the environmental score.

"We believe that the Japanese ETF market will continue to grow in the future on the trend of long-term, diversification, and low-cost in the wealth management." said Akihiko Kanamura, President of Global X Japan Co. Ltd. "AUM of thematic ETFs is expanding in the U.S. As a thematic ETF pioneer in Japan, we aim to provide various investment means including this time ESG related ETF with investors in Japan."

¹ According to the Global X Japan's research as of March 31, 2021.

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Notes

ETF Name	Global X MSCI Governance-Quality Japan ETF	Global X CleanTech ESG Japan ETF
Stock Code	2636	2637
Target Index	MSCI Japan Governance-Quality Index	FactSet Japan CleanTech & Energy Index
Frequency of distribution	Semi-annually	Semi-annually
Fund Overview	The ETF aims to link to the MSCI Japan Governance-Quality Index. The index is composed of Japanese companies selected in view of the governance and financial quality.	The ETF aims to link to the FactSet Japan CleanTech & Energy Index. The index is composed of Japanese companies operating the cleantech related business.

- Warning before handling this material

This material was prepared by Global X Japan Co. Ltd. to provide information about its funds' performance and other operation-related facts. Potential investors should refer to and read the prospectus of such fund product for more detailed information prior to their investment decision. Since investment trusts will invest in stocks whose prices fluctuate, the NAV of this fund could possibly decline and cause a loss in investment. The investors' principals thus are not guaranteed and the fund contains risk that the NAV may fall below its par value. All the gains and losses accrued to the trust assets will be attributable to the investors. Investment trusts are different from deposits and insurance policies. Investment trusts are not protected by the Deposit Insurance Corporation of Japan and insurance policyholders protection corporations. Investment trusts which are not purchased from securities companies are not protected by the Japan Investor Protection Fund. The information, statements, forecasts and projections contained herein, including any expression of opinion, are based upon sources believed to be reliable, but their accuracy, correctness or completeness are not guaranteed. The performance of investments, if referred to herein, is based on past data and is neither necessarily an indication nor a guarantee of future performance of investments. The performance data in this material does not consider any tax or fees if there were any, and will not provide a final return for potential investors. Global X Japan Co. Ltd. made all reasonable efforts to ensure that the information contained herein is current, but it is subject to change without notice. The dividend amount is to be determined, based on the dividend distribution policy of such fund product, by the investment manager, who will not promise or guarantee any fixed amount of dividend before investment. In some cases, the investment manager might decide to skip a dividend payment for the correspondent period due to performance reason.

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● Fee Structure

Fees directly charged to customers		
	Rate, etc.	Details of expenses
Subscription commission	Determined by the Distributor.	Subscription Commission is a consideration for explanation of products, provision of product information and investment information, and execution of application at the time of the subscription of the Fund.
Redemption Fee	Nil	—
Exchange commission	Determined by the Distributor.	Exchange Commission is a consideration for administrative procedures for exchange of units of the Fund.
Fees indirectly charged during holding period		
	Rate, etc.	Details of expenses
Management Fee (Trust Fee)		<p>< Global X MSCI Governance-Quality Japan ETF > Trust fee is calculated daily as sum of 1 and 2 as shown below during the holding period. 1. The amount obtained by multiplying the total net assets of the trust assets by the ratio up to 0.3025% per annum (0.275% before tax). 2. The amount obtained by multiplying lending charges due to lending of stocks and REITs held in the trust assets by up to 55% (50% before tax).</p> <p>< Global X E-Commerce Japan ETF > Trust fee is calculated daily as sum of 1 and 2 as shown below during the holding period. 1. The amount obtained by multiplying the total net assets of the trust assets by the ratio up to 0.649% per annum (0.59% before tax). 2. The amount obtained by multiplying lending charges due to lending of stocks held in the trust assets by up to 55% (50% before tax).</p>
Other Expenses and Brokerage Commissions		Fund Listing Expenses and Annual Fees for Use of Trademarks of the Index with tax levied on them can be borne by the unitholders, and can be paid from the trust assets. Commissions associated with securities transactions (including brokerage commissions on trade of securities, fees for future transactions and option transactions) and auditing fees shall be charged to the trust assets.

※As expenses for brokerage commissions on trade of securities, etc. shall vary depending on circumstances, specific rate of fees or the maximum amounts thereof cannot be disclosed in advance.

※As REITs are traded on market prices, their expenses can not be displayed.

※Please see further details described under “Expenses and Taxes of the Fund” in the Prospectus.

● Investment Risk

The Fund will invest in financial instruments whose prices fluctuate and as a result the NAV per unit of the Fund will also fluctuate. Therefore the invested amount will not be guaranteed and a loss of principal may be caused. All the gains and losses accrued to the trust assets will be attributable to the investors. Investment trusts are not deposits.

Major factors to cause fluctuation of NAV per unit:

Price Volatility risks / Credit risks (Stock Price Volatility, REIT Price Volatility), Concentrated investment risks, Other risks

The performance of the NAV may not completely match that of the index. The factors to cause fluctuation of NAV per unit are not limited to those mentioned above. Please read “Investment Risks” in the fund’s Prospectus describing in detail.

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- About copyrights of indexes (MSCI Japan Governance-Quality Index)

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- About copyrights of indexes (FactSet Japan CleanTech & Energy Index)

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Global X Japan Co. Ltd.

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