

Press Release



TOKAI TOKYO FINANCIAL HOLDINGS, INC.

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(TSE, NSE: 8616)

May 19, 2021

Proposal by Tokai Tokyo Securities Regarding Change of Their Directors

Tokai Tokyo Securities Co., Ltd., our subsidiary, announces that their Meeting of the Board of Directors decided today to submit the proposal to their 13th Ordinary Shareholders' Meeting, requesting for the official approval therefrom. The proposal addresses the change as set out below regarding the Directors, and the Ordinary Shareholders' Meeting is scheduled to be held on June 25, 2021.

1. Change of Directors (effective June 25, 2021)

(1) Non-audit and Supervisory Committee Directors

① Reappointment of Directors

Mikio Fujii : currently Director and Deputy President, Head of Investment Banking Company
Tateaki Ishida : currently President & CEO of Tokai Tokyo Financial Holdings, Inc.
Ichiro Goda : currently Representative Director and President
Toshio Ando : currently Outside Director
Kazuhiro Matsubara : currently Outside Director

② Appointment of New Outside Director

Masataka Sato : currently Director and Deputy President of Tokai Tokyo Financial Holdings, Inc.

③ Resignation of Director

Hideaki Yamane : currently Representative Director and Chairman of Tokai Tokyo Securities Co., Ltd.

(end)